



PTO/SB/08A (04-03)

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**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

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Sheet 1 of 3

Complete if Known

Application Number	10/795,843
Filing Date	March 8, 2004
First Named Inventor	Dilip K. Nakhasi
Art Unit	1761
Examiner Name	
Attorney Docket Number	0803-0111

U. S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
CP ↓ ↓ ↓ ↓ ↓ ↓ ↓ ↓ ↓ ↓ ↓ ↓ ↓ ↓ ↓ ↓ ↓ ↓		US- 6,087,353	07-11-2000	Stewart et al.	
		US- 6,106,886	08-22-2000	van Amerongen et al.	
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		US- 6,623,780 B1	09-23-2003	Stevens et al.	
		US-			

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T ⁴
		Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)				
CP ↓ ↓ ↓ ↓		WO 01/13733 A1	03-01-2001	Masui et al.		
		WO 01/32029 A2	05-10-2001	Zawistowski		
		WO 01/91587 A2	12-06-2001	Zawistowski et al.		
		WO 02/16534	02-28-2002	Takeuchi et al.	Abstract	

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/Carolyn Paden/ (01/11/2007)

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Substitute for form 1449/PTO		Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)		Application Number	10/795,843
		Filing Date	March 8, 2004
		First Named Inventor	Dilip K. Nakhasi
		Art Unit	1761
		Examiner Name	
Sheet 2 of 3	Attorney Docket Number	0803-0111	

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CP ↓ ↓ ↓ ↓ ↓ ↓ ↓ ↓ ↓ ↓ ↓ ↓ ↓ ↓		US- 2002/0001660 A1	01-03-2002	Takeuchi et al.	
		US- 2002/0012722 A1	01-31-2002	Prosise et al.	
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	Examiner Name				
Sheet	3	of	3	Attorney Docket Number	0803-0111

OTHER PRIOR ART—NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
CP	AA	ST-ONGE, ROSS, PARSONS and JONES, "Medium Chain Triglycerides Increase Energy Expenditure and Decrease Adiposity in Overweight Men,"	
		Obesity Research, Vol. 11 No. 3, March 2003, pp. 395-402.	
CP	BB	ST-ONGE and JONES, "Phytosterols and Human Lipid Metabolism: Efficacy, Safety, and Novel Foods," Lipids, Vol. 38, no. 4, April 2003, pp. 367-375, AOCs Press.	
CP	CC	ST-ONGE and JONES, "Greater Rise in Fat Oxidation with Medium Chain Triglyceride Consumption Relative to Long Chain Triglyceride is Associated with Lower Initial Body	
		Weight and Greater Loss of Subcutaneous Adipose Tissue," In Press IJO Aug. 13, 2003.	
CP	DD	ST-ONGE, LAMARCHE, MAUGER and JONES, "Consumption of a Functional Oil Rich in Phytosterols and Medium-Chain Triglyceride Oil Improves Plasma Lipid	
		Profiles in Men," Journal of Nutrition, Vol. 133, pp. 1815-1820, 2003.	

Examiner Signature	/Carolyn Paden/ (01/11/2007)	Date Considered	01/11/2007
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of 12

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First Named Inventor	Dilip K. Nakhasi
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Dilip K. Nakhasi

Art Unit	1761
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1761

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CP		WO 98/06405 A1	02-19-1998	Raision Tehtaat Oy AB		
↓		WO 01/32035 A1	05-10-2001	Raision Benecol Oy		
		WO 01/91587 A2	12-06-2001	Forbes Medi-Tech Inc.		
		WO 02/055639 A1	07-18-2002	Karl-Shamns AB		

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of

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NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
CP	A	WESTER, "Cholesterol-lowering effect of plant sterols", XP-002216851, EUR. J. LIPID SCI. TECHNOL., 2000, pgs. 37-44.	
CP	B	PCT Notification of Transmittal of the International Search Report and the Written Opinion of the International Searching Authority, or the Declaration; PCT/US2004/030663; Dated December 22, 2004.	
CP	C	PCT International Search Report; PCT/US2004/030663; Dated December 22, 2004.	
CP	D	PCT Written Opinion of the International Searching Authority; PCT/US2004/030663; Dated December 22, 2004.	

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